

THIRD PARTY PAYMENT DECLARATION FORM should be completed in English and in BLOCK LETTERS only.  
 (Please read the Third Party Payment Rules and Instructions carefully before completing this Form.)

Application No. \_\_\_\_\_

### FOR OFFICE USE ONLY

Date of Receipt	Folio No.	Branch Trans. No.

### 1. BENEFICIAL INVESTOR INFORMATION

FOLIO NO. (For existing investor) \_\_\_\_\_ Application No. \_\_\_\_\_

NAME OF FIRST/ SOLE APPLICANT (Beneficial Investor)

 Mr. / Ms. / M/s. F I R S T M I D D L E L A S T

### 2. THIRD PARTY INFORMATION

NAME OF THIRD PARTY (Person Making the Payment)

 Mr. / Ms. / M/s. F I R S T M I D D L E L A S T

 Nationality \_\_\_\_\_ PAN\*  KYC\*\* (Please ✓)  Attached (Mandatory for any amount)

#Mandatory for any amount. Please attach PAN Proof.

NAME OF CONTACT PERSON &amp; DESIGNATION (in case of non-Individual Third Party)

 Mr. / Ms. F I R S T M I D D L E L A S T

Designation \_\_\_\_\_

MAILING ADDRESS (P.O. Box Address may not be sufficient) \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Pin Code \_\_\_\_\_

#### CONTACT DETAILS

Tel. : Off. \_\_\_\_\_ STD Code \_\_\_\_\_ Tel. : Res. \_\_\_\_\_ STD Code \_\_\_\_\_ Mobile \_\_\_\_\_

Fax \_\_\_\_\_ STD Code \_\_\_\_\_ Email \_\_\_\_\_

RELATIONSHIP OF THIRD PARTY WITH THE BENEFICIAL INVESTOR [Please ✓ (") as applicable.]

Status of the Beneficial Investor	Minor <input type="checkbox"/> Parent <input type="checkbox"/> Grand Parent <input type="checkbox"/> Related Person _____ (Please specify)	<input type="checkbox"/> FI <input type="checkbox"/> Client Custodian SEBI Registration No. of Custodian Registration Valid Till <span style="border: 1px solid black; padding: 2px;">D D M M Y Y Y Y</span>	Employee (s)  Employer
Relationship of Third Party with the Beneficial Investor			
Declaration by Third Party	I/We declare that the payment made on behalf of minor is in consideration of natural love and affection or as a gift.	I/We declare that the payment is made on behalf of Client and the source of this payment is from funds provided to us by FI/Client.	FI/I/We declare that the payment is made on behalf of employee(s) under Systematic Investment Plans through Payroll Deductions.

### 3. THIRD PARTY PAYMENT DETAILS

Mode of Payment [Please ✓ (/)] Cheque <input type="checkbox"/>	Mandatory Enclosure(s)* In case the account number and account holder name of the third party is not pre-printed on the cheque then a copy of the bank passbook / statement of bank account or letter from the bank certifying that the third party maintains a bank account.
Pay Order <input type="checkbox"/> Demand Draft <input type="checkbox"/> Banker's Cheque <input type="checkbox"/>	Certificate from the Issuing Banker stating the Bank Account Holder's Name and Bank Account. Number debited for issue of the instrument.
RTGS <input type="checkbox"/> NEFT <input type="checkbox"/> Fund Transfer <input type="checkbox"/>	Copy of the Instruction to the Bank stating the Bank Account Number which has been debited.

\* Motilal Oswal Mutual Fund/ Motilal Oswal Asset Management Company Limited reserves the right to seek information and /or obtain such other additional documents/information from the Third Party for establishing the identity of the Third Party.

Amount*	in figures _____	in words _____
Cheque/DD/PO/UTR No.	Cheque/DD/PO/RTGS Date <span style="border: 1px solid black; padding: 2px;">D D M M Y Y Y Y</span>	
Pay- in Bank A/c No. _____		
Name of the Bank _____		
Branch _____	Bank City _____	
Account Type [Please ✓] <input type="checkbox"/> SAVINGS <input type="checkbox"/> CURRENT <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> OTHERS _____ (please specify)		

# including Demand Draft charges, if any.

#### 4. DECLARATIONS & SIGNATURE/S

##### THIRD PARTY DECLARATION

I/We confirm having read and understood the Third Party Payment rules, as given below and hereby agree to be bound by the same.

I/We declare that the information declared herein is true and correct, which Motilal Oswal Mutual Fund is entitled to verify directly or indirectly. I agree to furnish such further information as Motilal Oswal Mutual Fund may require from me/us. I/We agree that, if any such declarations made by me/us are found to be incorrect or incomplete, Motilal Oswal Mutual Fund/Motilal Oswal AMC is not bound to pay any interest or compensation of whatsoever nature on the said payment received from me/us and shall have absolute discretion to reject / not process the Application Form received from the Beneficial Investor(s) and refund the subscription monies.

I/We hereby declare that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/We will assume personal liability for any claim, loss and/or damage of whatsoever nature that Motilal Oswal Mutual Fund/Motilal Oswal AMC may suffer as a result of accepting the aforesaid payment from me/us towards processing of the transaction in favour of the beneficial investor(s) as detailed in the Application Form.

Applicable to NRIs only:

I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account /FCNR Account.

Please (✓)  Yes  No

If yes, (✓)  Repatriation basis

Non-repatriation basis

Signature of the Third Party \_\_\_\_\_

##### BENEFICIAL INVESTOR(S) DECLARATION

I/We certify that the information declared herein by the Third Party is true and correct.

I/We acknowledge that Motilal Oswal Mutual Fund reserves the right in its sole discretion to reject/not process the Application Form and refund the payment received from the aforesaid Third Party and the declaration made by the Third Party will apply solely to my/our transaction as the beneficial investor(s) detailed in the Application Form. Motilal Oswal Mutual Fund/ Motilal Oswal AMC will not be liable for any damages or losses or any claims of whatsoever nature arising out of any delay or failure to process this transaction due to occurrences beyond the control of Motilal Oswal Mutual Fund/Motilal Oswal AMC.

Applicable to Guardian receiving funds on behalf of Minor only:

I/We confirm that I/We are the legal guardian of the Minor, registered in folio and have no objection to the funds received towards Subscription of Units in this Scheme on behalf of the minor.

##### SIGNATURE/S

X First / Sole Applicant / Guardian

Second Applicant

Third Applicant

##### THIRD PARTY PAYMENT RULES

- In order to enhance compliance with Know your Customer (KYC) norms under the Prevention of Money Laundering Act, 2002 (PMLA) and to mitigate the risks associated with acceptance of third party payments, Association of Mutual Funds of India (AMFI) issued best practice guidelines on "risk mitigation process against third party instruments and other payment modes for mutual fund subscriptions". AMFI has issued the said best practice guidelines requiring mutual funds/asset management companies to ensure that Third-Party payments are not used for mutual fund subscriptions.
- The following words and expressions shall have the meaning specified herein:
  - "Beneficial Investor" is the first named applicant/investor in whose name the application for subscription of Units is applied for with the Mutual Fund.
  - "Third Party" means any person making payment towards subscription of Units in the name of the Beneficial Investor.
  - "Third Party payment" is referred to as a payment made through instruments issued from a bank account other than that of the first named applicant/ investor mentioned in the application form.

Illustrations

Illustration 1: An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in names of B, C & Y. This will be considered as Third Party payment.

Illustration 2: An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in names of C, A & B. This will not be considered as Third Party payment.

Illustration 3: An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in name of A. This will not be considered as Third Party payment.
- Motilal Oswal Mutual Fund/Motilal Oswal Asset Management Company will not accept subscriptions with Third Party payments except in the following exceptional cases, which is subject to submission of requisite documentation/ declarations:
  - Payment by Parents / Grand-Parents / Related Persons\* on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding ₹ 50,000/- each regular Purchase or per SIP installment.
  - Payment by Employer on behalf of employee(s) under Systematic Investment Plan (SIP) Payroll deductions.
  - Custodian on behalf of an FI or a Client.

\* 'Related Person' means any person investing on behalf of a minor in consideration of natural love and affection or as a gift.
- Applications submitted through the above mentioned 'exceptional cases' are required to comply with the following, without which applications for subscriptions for units will be rejected / not processed / refunded.
  - Mandatory KYC for all investors (guardian in case of minor) and the person making the payment i.e. third party.
  - Submission of a complete and valid 'Third Party Payment Declaration Form' from the investors (guardian in case of minor) and the person making the payment i.e. third party.
- Investor(s) are requested to note that any application for subscription of Units of the Scheme(s) of Motilal Oswal Mutual Fund accompanied with Third Party payment other than the above mentioned exceptional cases as described in Rule (2b) above is liable for rejection without any recourse to Third Party or the applicant investor(s).

The above mentioned Third Party Payment Rules are subject to change from time to time. Please contact any of the Investor Service Centres of Motilal Oswal AMC or visit our website for any further information or updates on the same.