TAURUS MUTUAL FUND



SWP or STP / OptiSTP or DSO Form

(Please read instructions carefully before filling up the form) Please (✓) any one. Systematic Withdrawal Plan Systematic Transfer Plan **Dividend Sweep Option** OptiSystematic Transfer Plan ARN Code & Name Employee Unique Identity Number* Internal Code for Sub-broker/Employee Time Stamp (for office use only) Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. Investors subscribing under the "DIRECT" plan of the scheme should mention "DIRECT" in the ARN column. 2. INVESTOR DETAILS Name of Sole/First Applicant Mr. Ms. M/s Name of Second Applicant Mr. Ms. Name of Third Applicant Name of Guardian (for Minor applicant) / POA Holder / Contact person (for Non-indl. Applicant) Mr. Ms. 3. SYSTEMATIC WITHDRAWAL PLAN (SWP) I/We wish to redeem units through Systematic Withdrawal Plan as per the details below -From→ Folio No. Scheme Name Plan/Option Fixed SWP Amount / No.of Units Frequency Monthly Quarterly SWP date (Please ✓) 10th 28th 15th **Enrolment Period** Start From No. of Installments 4. SYSTEMATIC TRANSFER PLAN (STP) I/We wish to switch units through STP/ OptiSTP as per the details below -Systematic Transfer Plan (STP) DETAILS Opti Systematic Transfer Plan (OptiSTP) DETAILS Fixed Min. Installment Amt. Fixed STP Installment Amount (₹) (Amount greater than Fixed Min. Installment amount Fixed Max. Installment Amt. by ₹500/- & multiple of ₹1/- thereof) From→ Folio No. Scheme Name Plan/Option To → Folio No. Scheme Name Plan/Option Weekly Transfer Frequency Daily Monthly Quarterly Transfer date (Please ✓) 10th 28th ((applicable ONLY for Monthly/Quarterly transfers)) No. of Installments Enrolment Period Start From End on 5. DIVIDEND SWEEP OPTION (DSO) DETAILS (Refer instructions overleaf) I/We wish to transfer the Dividends declared through Dividend Sweep Option as per the details below -Scheme Name From→ Folio No. Plan/Option To → Folio No. Scheme Name Plan/Option Dedaration & Signature (s): Having read and understood the contents of the Scheme Information Document (SID), Statement of Additional Information (SAI) & Key Information Memorandum (KIM) I/We hereby apply for units of the scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. L/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the controvention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Prevention of Money Loundering Act, Prevention of Corruption Act and / or any other applicable lows enacted by the government of India from time to time. I/We have understood the details of the scheme & I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. Applicable for NRI's only - I/We confirm that I am/we are Non Residents of Indian Nationality/Origin and that I/we have remitted funds from abroad through approved banking channels or from funds in my/our Non-Resident External /Non-Resident Ordinary /FCNR account. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We confirm that details provided by me/us are true and correct. Please 🗸 🔃 Repatriation basis Non-Repatriation basis * Please strike out whichever is not applicable. First Account Holder/Guardian Signature Second Account Holder's Signature Third Account Holder's Signature **EXECUTION ONLY** *I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction. First Account Holder/Guardian Signature Second Account Holder's Signature Third Account Holder's Signature ACKNOWLEDGEMENT - SWP/STP or OptiSTP / DSO Form **TAURUS** Mutual Fund TAURUS MUTUAL FUND Folio No. Collection Centre / AMC Stamp / Signature Received from Mr./Ms./M/s. SWP STP OptiSTP DSO Frequency Received for Scheme / Plan / Option -Amount or Units -

INSTRUCTIONS FOR SYSTEMATIC WITHDRAWAL PLAN (SWP)

- New Investors investing in a scheme for the first time and wishing to avail of SWP, should fill in all the sections in the Common Application Form. The withdrawal details should be indicated in the SWP section of this form.
- Existing Investors are required to submit only this form indicating the existing Folio/Account Number and withdrawal details in the SWP section.
- Investors should ensure that they have complied with PAN and KYC requirements mentioned in KIM and general instructions, as may be applicable to them while opting for SWP facility.
- Please allow upto 7 days for SWP to be registered and the first SWP transaction to happen. Hence, form should be submitted at least 7 days before the SWP start date.
- SWP Facility is available only on specific dates of the month viz. 1st / 5th / 10th / 15th/28th. In case of ambiguity / incomplete form, "1st" will be treated as the default option date.
- SWP period has to be for minimum of 6 installments irrespective of frequency i.e. 6 installments in case of monthly option or 6 quarters in case of quarterly option.
- 8. SWP withdrawal request should not be below `500/-.
- Where start date is not specified clearly, SWP will be registered to start from a period after 7 days on the SWP date as available/mentioned. Where end date is not specified clearly, SWP will be registered for a period of three years.
- 10. Investors may choose to discontinue this facility by giving 30 days' written notice to AMC or Registrar.

INSTRUCTIONS FOR SYSTEMATIC TRANSFER PLAN (STP)/OptiSTP

- Systematic Transfer Pan (STP) is a facility wherein unit holder(s) of open-ended scheme(s) of Taurus Mutual Fund (Transferor or Source scheme) can opt to transfer a fixed amount at regular intervals to any other open-ended scheme(s) of Taurus Mutual Fund (Transferee or Target scheme). While, OptiSTP is a facility wherein a variable amount (as per the formula**) can be transferred at regular interval by an investor.
- The STP/OptiSTP Enrollment Form should be completed in English and in Block Letters only. The STP/OptiSTP Enrollment Form complete in all respects, should be submitted at any of the designated Investor Service Centres (ISCs) of Karry or any of the branches of Taurus Asset Management Co. Ltd. (TAMCO)
- 3. For OptiSTP, an investor will have to decide a monthly Fixed minimum installment amount (A) and a monthly Fixed Maximum installment amount (B), which has to be greater than (A) by `500/- & in multiple of `1/- thereof. The actual Opti STP installment amount will be calculated by a formula**; but irrespective of the formula amount, the final monthly OptiSTP investment will neither exceed the Fixed Maximum installment amount (B) nor be lower than the Fixed minimum installment amount (A), as decided by the investor.
- Formula** i) A= Fixed Minimum Installment amount ii) Fixed Maximum Installment amount = B
 iii) [(A * current number of installment) Account value of investments via Opti STP as on date of
 transfer] = C iv) Opti STP Installment amount = C, provided it is not less than A or greater than B. For example:

Scenario	A	В	(Opti STP Instalment Amount
1	1000	2000	800	1000
2	1000	2000	2100	2000
3	1000	2000	1500	1500

5. The minimum number of STP/OptiSTP Installments and minimum amount are as under:

Frequency	Minimum No. Instalments	Minimum STP/ OptiSTP Amount
Daily	12 Installments	₹500/-
Weekly (Friday)	12 Installments	₹500/-
Monthly	6 Installments	₹1000/-
Quarterly	4 Installments	₹1500/-

- For effecting one STP/OptiSTP, a single form is sufficient. However for more than one transfer and more than one scheme, please use separate forms for every such transfer.
- The STP/OptiSTP Enrollment Form will be registered within 15 business days from the receipt of request. The 1st STP/OptiSTP shall commence-

A) For clear balance of units, 3 business days after registration.

B) For unclear balance of units, 7 business days after registration.

- Daily STP/OptiSTP will be carried out on every business day. The Monthly and Quarterly STP/OptiSTP will be carried out on fixed dates - 1st, 5th, 10th, 15th & 28th, while the Weekly STP/OptiSTP will be carried out on every Friday of the week.
- Investors are advised to read the Scheme Information Document(s), Statement of Additional Information &
 Key Information Memorandum (s) of the Transferee Scheme(s) carefully before investing. The Scheme
 Information Document(s)/Key Information Memorandum(s) of the respective Scheme(s) are available
 with the ISCs or TAMCO and also displayed at the Taurus Mutual Fund website: www.taurusmutualfund.com.
- 10. Unit holders should note that unit holders' details and mode of holding (single, jointly, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme.
- 11. In case the chosen STP/OptiSTP date falls on a Non-Business Day or falls during a book closure period, the immediate next Business Day will be considered for the purpose of determining the applicability of NAV.
- 12. Exit load, as applicable, will be levied on the transfer of units from the transferor (source) scheme.
- 13. If the amount of units in the folio of Transferor' scheme falls short than the stipulated STP amount in the application or the OptiSTP installment amount, calculated as per the formula, then the entire such balance will be transferred to the transferee scheme.
- 14. STP/OptiSTP will be automatically terminated if all units are liquidated or withdrawn from the Transferor Scheme or pledaed or upon receipt of intimation of death of the unit holder.
- 15. The provision of 'Minimum Redemption Amount' as specified in the Scheme Information document(s) of the Transferor Schemes and 'Minimum Application Amount' specified in the scheme information document of the respective designated Transferee Schemes will not be applicable for STP/OptiSTP.
- 16. A Statement of Account will be issued in physical form or by e-mail (if opted by the unit holder) to the unit holder In case of Physical Form For the first transaction, the Statement will dispatched within 10 working days and for subsequent frequency of transactions, the Statement will be dispatched within 10 working days at the end of every quarter. In case of specific requests of the investor, Mutual Fund will endeavor to dispatch within 5 working days from date of receipt of such request. In case of electronic form The Statement shall be sent by e-mail as provided in the form if so mondated. The statement shall be sent on the next business day of the transaction day in a secured password protected form.
- 17. Unit holders shall have the right to discontinue the STP/OptiSTP facility at any time by sending a written request on a plain paper to the ISC or any of the branches of TAMCO. Notice of such discontinuance must be received at least 15 days prior to the due date of the next transfer date. On receipt of such request, the STP/OptiSTP facility will be terminated.
- 18. Units of Taurus Tax Shield cannot be assigned / transferred / pledged / redeemed / switched out until completion of 3 years from the date of allotment of the respective units.
- 19. The Trustee reserves the right to change/modify the terms and conditions of the STP/OptiSTP.

INSTRUCTIONS FOR DIVIDEND SWEEP OPTION (DSO)

- 1. Under the Dividend Sweep Option (DSO), the investors may opt to automatically transfer (invest) the net dividend amount (i.e., net of statutory levy / taxes, if any) payable under the Scheme (hereinafter referred to as source Scheme into any other scheme (hereinafter referred to as target Scheme of Taurus Mutual Fund on the ex-dividend date (i.e.,immediate next business day after the Record Date) into the Target Scheme as specified by the investor, at the applicable NAV of the Target Scheme and accordingly applicable number of units will be allotted in the Target Scheme, subject to the terms and conditions of the respective Target Scheme.
- "Dividend Sweep" sub option available under Dividend Option for the following schemes: Taurus Liquid
 Fund (retail plan only), Taurus Ultra Short Term Bond Fund, Taurus Dynamic Income Fund, Taurus
 Starshare, Taurus Bonanza Fund, Taurus Discovery Fund, Taurus Ethical Fund, Taurus Infrastructure, Taurus
 Tax Shield, Taurus Nifty Index Fund, Taurus Short Term Income Fund & Taurus MIP Advantage.
- 3. The minimum amount of investment is not applicable for investment made through DSO in the Target Schemes.
- The Units allotted in the Target scheme against investment via DSO will be subject to the applicable Exit Load
 of the Target scheme.
- Unitholder(s) are advised to read the Scheme Information Documents , Statement of Additional Information and Key Information of Memorandum of Target Scheme (s) carefully before opting for DSO.
- Unit holders who wish to enroll for the DSO facility are required to fill in and submit a prescribed Dividend Sweep Form duly signed and complete in all respects and submit at any of the Official Point of Acceptance (OPA) of Tourus Mutual Fund, separately for each Scheme/Plan/Option.
- 6. The enrolment for DSO facility shall be for all units under the Dividend Option of the respective Source Scheme. Request for dividend sweep into multiple schemes are not allowed, partial Dividend Sweep and partial Dividend Payout /Reinvestment are also not permitted. If the unitholder fails to specify the option i.e growth, bonus or dividend of the target schemes then, the default shall be growth and the default option under dividend shall be dividend re-investment.
- The DSO Enrollment Form is available with the OPAs of TMF and as well as on the website of TMF, namely, www.tourusmutualfund.com
- Enrolment under the DSO facility will automatically override any previous instructions of the Unitholder for Dividend Payout or Dividend Reinvestment facility, as the case may be, in the Source Scheme and will also

- apply to additional units allotted in the Source Scheme subsequently on account of additional subscription / switch-in/SIP/STPetc.
- 7. The request for enrolment for DSO must be submitted at least seven (7) working days prior to the Record Date for the dividend in the Source Scheme. Hence investors should submit the DSO enrolment request sufficiently in advance. In case of this condition not being met, the DSO enrolment would be effective from the immediately succeeding Record Date of the dividend in the Source Scheme. Consequently, any dividend declared between the date of acceptance of the DSO Enrollment Form and date of registration thereof by the Registrar, will be paid out or reinvested in the Source Scheme, as applicable.
- 10. Unit holders will have the right to opt out of DSO facility at any time by submitting a written request. At the time of discontinuation of DSO facility, the unit holders should specify their choice of option i.e. Dividend Reinvestment or Dividend Payout, in the Source Scheme, failing which, the default sub-option, i.e., Dividend Reinvestment will be applicable.
- 11. Request for concellation of DSO must be submitted at least seven (7) working days prior to the Record Date for the dividend in the Source Scheme. Any dividend declared in the Source Scheme during the interim period will be swept to the Target Scheme.
- The Account Statement for DSO transactions will be sent by post or by email (if email id. is provided) within 30 days of dividend sweep.
- 13. It is expressly clarified that the dividends so swept and invested in Target Scheme shall be constructive payment of dividends to the Unit holder/s and constructive receipt of the same amount from each Unit holder for investment in units of Target Scheme. It is further clarified that the dividend amount transferred would be treated as switch-in / subscription transaction in the Target Scheme and will be liable to PAN and KYC provisions, as may be applicable.
- 14. TAMCO is not guaranteeing or assuring any dividend under any of the schemes. All dividend distributions are subject to investment performance of the respective schemes, availability of distributable surplus and at the discretion of the Trustee.
- 15. The AMC reserves the right to change/ modify the terms and conditions of the DSO without prior notice or without assigning any reason thereof. If DSO facility is withdrawn from any Source Scheme or Target Scheme, all investors who have opted for DSO will be shifted under Dividend Reinvestment Option in the